# BEFORE THE MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation ) Against: )	
Dimple Agarwal, M.D.	File No. 800-2016-022551
Physician's and Surgeon's	
Certificate No. A 66261	
Respondent )	
)	·

#### **DECISION**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted as the Decision and Order of the Medical Board of California, Department of Consumer Affairs, State of California.

This Decision shall become effective at 5:00 p.m. on March 7, 2019.

IT IS SO ORDERED February 5, 2019.

MEDICAL BOARD OF CALIFORNIA

Ronald H. Lewis, M.D., Chair

Panel A

1 2 3 4 5 6 7 8	XAVIER BECERRA Attorney General of California MATTHEW M. DAVIS Supervising Deputy Attorney General MARTIN W. HAGAN Deputy Attorney General State Bar No. 155553 600 West Broadway, Suite 1800 San Diego, CA 92101 P.O. Box 85266 San Diego, CA 92186-5266 Telephone: (619) 738-9405 Facsimile: (619) 645-2061  Attorneys for Complainant		
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10	BEFORE	THE	
-11	MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS		
12	STATE OF CA		
13	P		
14	In the Matter of the Accusation Against:	Case No. 800-2016-022551	
15	DIMPLE AGARWAL, M.D. PO Box 549	OAH No. 2018070843	
16	Palm Springs, CA 92263	STIPULATED SETTLEMENT AND	
17	Physician's and Surgeon's Certificate No. A66261	DISCIPLINARY ORDER	
18	Respondent.	•	
19	AM 10 AMEDIDA GENDAN A CONTRACTOR A CONTRACT		
20	·	EED by and between the parties to the above	
21	entitled proceedings that the following matters are		
22	PARTI	<del></del>	
23	Kimberly Kirchmeyer (Complainant) is	the Executive Director of the Medical Board	
24	of California (Board). She brought this action solely in her official capacity and is represented in		
25	this matter by Xavier Becerra, Attorney General of	the State of California, by Martin W. Hagan,	
26	Deputy Attorney General.		
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Dimple Agarwal, M.D. - Stipulated Settlement and Disciplinary Order (800-2016-022551)

- 2. Respondent Dimple Agarwal, M.D. (Respondent) is represented in this proceeding by Deborah Olsen deBoer, Esq., of Kramer, deBoer & Keane, whose address is 74770 Highway 111, Suite 201, Indian Wells, CA 92210.
- 3. On or about August 14, 1998, the Board issued Physician's and Surgeon's Certificate No. A66261 to Respondent. The Physician's and Surgeon's Certificate was in full force and effect at all times relevant to the charges brought in Accusation No. 800-2016-022551, and will expire on December 31, 2019, unless renewed.

#### **JURISDICTION**

4. On May 16, 2018, Accusation No. 800-2016-022551 was filed before the Board, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on May 16, 2018. Respondent timely filed her Notice of Defense contesting the Accusation. A true and correct copy of Accusation No. 800-2016-022551 is attached as Exhibit A and incorporated by reference as if fully set forth herein.

#### ADVISEMENT AND WAIVERS

- 5. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 800-2016-022551. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 6. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 7. Having the benefit of counsel, Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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#### **CULPABILITY**

- 8. Respondent agrees that, at an administrative hearing, Complainant could establish a prima facie case with respect to the charges and allegations in Accusation No. 800-2016-022551, and that she has thereby subjected her Physician's and Surgeon's Certificate No. A66261 to disciplinary action. Respondent further agrees to be bound by the Board's imposition of discipline as set forth in the Disciplinary Order below.
- 9. Respondent further agrees that if an accusation and/or petition for revocation of probation is filed against her before the Board, or, if she ever petitions for early termination or modification of probation, all of the charges and allegations contained in Accusation No. 800-2016-022551 shall be deemed true, correct and fully admitted by Respondent for purposes of that proceeding or any other licensing proceeding involving Respondent in the State of California or elsewhere.
- 10. The *prima facie* admissions made by Respondent herein are only for the purposes of this proceeding, or any other proceedings in which the Board or other professional licensing agency in the State of California is involved, and shall not be admissible in any other criminal or civil proceeding.

### CONTINGENCY

11. This stipulation shall be subject to approval by the Board. Respondent understands and agrees that counsel for Complainant and the staff of the Board may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or his counsel. By signing the stipulation, Respondent understands and agrees that he may not withdraw his agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.

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The parties agree that this Stipulated Settlement and Disciplinary Order shall be 12. null and void and not binding upon the parties unless approved and adopted by the Board, except for this paragraph, which shall remain in full force and effect. Respondent fully understands and agrees that in deciding whether or not to approve and adopt this Stipulated Settlement and Disciplinary Order, the Board may receive oral and written communications from its staff and/or the Attorney General's Office. Communications pursuant to this paragraph shall not disqualify the Board, any member thereof, and/or any other person from future participation in this or any other matter affecting or involving respondent. In the event that the Board does not, in its discretion, approve and adopt this Stipulated Settlement and Disciplinary Order, with the exception of this paragraph, it shall not become effective, shall be of no evidentiary value whatsoever, and shall not be relied upon or introduced in any disciplinary action by either party hereto. Respondent further agrees that should this Stipulated Settlement and Disciplinary Order be rejected for any reason by the Board, Respondent will assert no claim that the Board, or any member thereof, was prejudiced by its/his/her review, discussion and/or consideration of this Stipulated Settlement and Disciplinary Order or of any matter or matters related hereto.

#### ADDITIONAL PROVISIONS

- 13. This Stipulated Settlement and Disciplinary Order is intended by the parties herein to be an integrated writing representing the complete, final and exclusive embodiment of the agreements of the parties in the above-entitled matter.
- 14. The parties agree that copies of this Stipulated Settlement and Disciplinary Order, including copies of the signatures of the parties, may be used in lieu of original documents and signatures and, further, that such copies shall have the same force and effect as originals.
- 15. In consideration of the foregoing admissions and stipulations, the parties agree the Board may, without further notice to or opportunity to be heard by Respondent, issue and enter the following Disciplinary Order:

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#### A. PUBLIC REPRIMAND

IT IS HEREBY ORDERED that Physician's and Surgeon's Certificate No. A66261 issued to Respondent Dimple Agarwal, M.D., shall be and is hereby Publicly Reprimanded pursuant to California Business and Professions Code section 2227, subdivision (a)(4). This Public Reprimand, which is issued in connection with Respondent's care and treatment of patient A and Respondent's medical record documentation, as set forth in Accusation No. 800-2016-022551, is as follows:

You are hereby publicly reprimanded in regard to the care and treatment you provided to patient A and your medical record documentation, as more fully set forth in Accusation No. 800-2016-022551, a true and correct copy of which is attached hereto as Exhibit A and incorporated by reference as if fully set forth herein.

- B. EDUCATION COURSE. Within 60 calendar days of the effective date of this Decision, Respondent shall submit to the Board or its designee for its prior approval educational program(s) or course(s) which shall not be less than 20 hours. The educational program(s) or course(s) shall be aimed at correcting any areas of deficient practice or knowledge and shall be Category I certified. The educational program(s) or course(s) shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure. Following the completion of each course, the Board or its designee may administer an examination to test Respondent's knowledge of the course. Respondent shall provide proof of attendance for 45 hours of CME of which 20 hours were in satisfaction of this condition. Failure to participate in and successfully complete the education program or course requirements as outlined above shall constitute unprofessional conduct and be grounds for further disciplinary action.
- C. <u>MEDICAL RECORD KEEPING COURSE</u>. Within 60 calendar days of the effective date of this Decision, Respondent shall enroll in a course in medical record keeping approved in advance by the Board or its designee. Respondent shall provide the approved course

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provider with any information and documents that the approved course provider may deem pertinent. Respondent shall participate in and successfully complete the classroom component of the course not later than six (6) months after Respondent's initial enrollment. Respondent shall successfully complete any other component of the course within one (1) year of enrollment. The medical record keeping course shall be at Respondent's expense and shall be in addition to the Continuing Medical Education (CME) requirements for renewal of licensure.

A medical record keeping course taken after the acts that gave rise to the charges in the Accusation, but prior to the effective date of the Decision may, in the sole discretion of the Board or its designee, be accepted towards the fulfillment of this condition if the course would have been approved by the Board or its designee had the course been taken after the effective date of this Decision. Respondent shall submit a certification of successful completion to the Board or its designee not later than 15 calendar days after successfully completing the course, or not later than 15 calendar days after the effective date of the Decision, whichever is later. Failure to participate in and successfully complete the medical record course requirements as outlined above shall constitute unprofessional conduct and be grounds for further disciplinary action.

#### **ACCEPTANCE**

I have carefully read the above Stipulated Settlement and Disciplinary Order and have fully discussed it with my attorney, Deborah deBoer, Esq. I understand the stipulation and the effect it will have on my Physician's and Surgeon's Certificate. I enter into this Stipulated Settlement and Disciplinary Order voluntarily, knowingly, and intelligently, and agree to be bound by the Decision and Order of the Medical Board of California.

DATED:	12/14/18	O. do		
		DIMDLE VCVE	MAT MD	

Respondent

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. 1	I have read and fully discussed with Respondent Dimple Agarwal, M.D., the terms and				
2	conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order				
3	I approve of its form and content.				
4 5	DATED: Boniber 14, 2018 DEBORAH DEBOER, ESQ.				
6	Attorney for Respondent  ENDORSEMENT				
7					
.	The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully				
8	submitted for consideration by the Medical Board of California.  DATED: 12/19/2018 Respectfully submitted,				
9					
10	XAVIER BECERRA Attorney General of California				
11	MATTHEW M. DAVIS Supervising Deputy Attorney General				
12	Mart Will				
13	MARTIN W, HAGAN				
14	Deputy Attorney General  Attorneys for Complainant				
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# Exhibit A

Accusation No. 800-2016-022551:

		FILED	
1	XAVIER BECERRA Attorney General of California	STATE OF CAL MEDICAL BOARD OF	
2	MATTHEW M. DAVIS Supervising Deputy Attorney General	SACRAMENTO May	1-16 20 18
3	Martin W. Hagan	BY K. Voong	ANALYST
. 4	Deputy Attorney General State Bar No. 155553		
5	600 West Broadway, Suite 1800 San Diego, CA 92101		•
- 6	P.O. Box 85266 San Diego, CA 92186-5266		
7	Telephone: (619) 738-9405 Facsimile: (619) 645-2061		
8	Attorneys for Complainant		
9	·		;
10	BEFOR		
- 11	MEDICAL BOARD DEPARTMENT OF CO		•
12	STATE OF C		
13	In the Matter of the Accusation Against:	Case No. 800-2016-022551	· · · · · · · · · · · · · · · · · · ·
14		·	
15	Dimple Agarwal, M.D. PO Box 549	ACCUSATION	,
	Palm Springs, CA 92263	•	
16	Physician's and Surgeon's Certificate No. A 66261,		•
17	Respondent.	, .	•
18			
19	Complainant alleges:		
20	PART	<u>ries</u>	
21	1. Kimberly Kirchmeyer (Complainant)	brings this Accusation solely	in her official
22	capacity as the Executive Director of the Medical	Board of California, Departs	nent of Consumer
2,3	Affairs (Board).		
24	2. On or about August 14, 1998, the Med	dical Board issued Physician	's and Surgeon's
25	Certificate Number A 66261 to Dimple Agarwal, M.D. (Respondent). The Physician's and		
26	Surgeon's Certificate was in full force and effect at all times relevant to the charges brought		
27	herein and will expire on December 31, 2019, unl	ess renewed.	
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#### **JURISDICTION**

- 3. This Accusation is brought before the Board, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.
- 4. Section 2227 of the Code provides that a licensee who is found guilty under the Medical Practice Act may have his or her license revoked, suspended for a period not to exceed one year, placed on probation and required to pay the costs of probation monitoring, or such other action taken in relation to discipline as the Board deems proper.
  - 5. Section 2234 of the Code states:

"The board shall take action against any licensee who is charged with unprofessional conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not limited to, the following:

- "(a) Violating or attempting to violate, directly or indirectly, assisting in or abetting the violation of, or conspiring to violate any provision of this chapter.
- "(c) Repeated negligent acts. To be repeated, there must be two or more negligent acts or omissions. An initial negligent act or omission followed by a separate and distinct departure from the applicable standard of care shall constitute repeated negligent acts.
- "(1) An initial negligent diagnosis followed by an act or omission medically appropriate for that negligent diagnosis of the patient shall constitute a single negligent act.
- "(2) When the standard of care requires a change in the diagnosis, act, or omission that constitutes the negligent act described in paragraph (1), including, but not limited to, a reevaluation of the diagnosis or a change in treatment, and the licensee's conduct departs from the applicable standard of care, each departure constitutes a separate and distinct breach of the standard of care.

"(f) Any action or conduct which would have warranted the denial of a

"The failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes

#### CAUSE FOR DISCIPLINE

## (Repeated Negligent Acts)

- Respondent is subject to disciplinary action under sections 2227 and 2234, as defined by section 2234, subdivision (c), of the Code, in that she committed repeated negligent acts in her care and treatment of patient A<sup>1</sup> as more particularly alleged hereinafter:
- 8. On or about March 8, 2016, at approximately 8:45 a.m., patient A, a then 82-year-oldmale presented to the Menifee Valley Medical Center Emergency Department with weakness, hiccups, nausea and vomiting for the past three days. Patient A had a history of coronary artery disease (CAD), previous myocardial infarction, three vessel coronary artery bypass graft, hypercholesterolemia, hypertension, chronic kidney disease, and previous cholecystectomy. Patient A was evaluated by Dr. M.M., the emergency room physician, and his labs were significant for white blood cell (WBC) of 56.7, creatinine level of 1.2, albumin of 3, elevated lipase and amylase. A chest x-ray showed asymmetric right apical density and CT of the chest revealed a right upper lobe 45 x 43 mm mass consistent with neoplasia, fatty liver, and bilateral adrenal nodules. No acute changes were noted on the EKG and the cardiac markers were negative for ischemia. Dr. M.M.'s impression was generalized weakness, acute coronary syndrome, SIRS and leukocytosis. Patient A was admitted at approximately 10:45 a.m. and his

<sup>&</sup>lt;sup>1</sup> Patient A is being used in place of the patient's name or initials to maintain patient confidentiality.

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- 9. On or about March 8, 2016, Respondent had her first inpatient encounter with patient A, at which point she attempted to obtain a history and conducted a physical examination. Respondent documented patient A's chief complaints as nausea, vomiting, shortness of breath, sore throat and coughing. Patient A's physical examination was significant for tachycardia (abnormally rapid heart rate), 96% saturation on room air, tachypnea (abnormally rapid breathing), abnormal lung exam with left base rhonchi. Respondent's assessment was pneumonia, fatigue, coronary artery disease, hypertension and hyperlipidemia and her treatment plan was multiple IV antibiotics, IV fluids, treatment for hiccups, narcotics for pain, anti-nausea agents, megace, respiratory therapy, physical therapy and DVT (deep vein thrombosis) and ulcer prophylaxis.
- 10. On or about March 9, 2016, Respondent had another inpatient encounter with Patient A, at which time she documented, among other things, intractable hiccups and improved lung function with decreasing rhonchi and "increasing air movement." Respondent's assessment was improving leukocytosis (elevated WBC count), possible paraneoplastic syndrome (physiological response to altered immune system), history of cancer, 3 and poor oral intake. The medical record for patient A indicates that his creatinine had worsened to 1.6, with AST at 47, Alk Pos at 140, and WBC of 48.4. Respondent's plan included continue IV antibiotics, continue monitoring leukocytosis and possible paraneoplastic syndrome, continue monitoring poor oral intake and supplement diet, and continue DVT and ulcer prophylaxis.
- 11. On or about March 10, 2016, at approximately 12:54 p.m., Respondent ordered patient A to be discharged. A telemetry report of March 10, 2016, indicated tachycardia ranging from 126 to 131. New medications at time of discharge included Levaquin (levofloxacin) [an oral antibiotic], Thorazine (chlorpromazine), Protonix (pantoprazole) and Megace (megestrol). The "Discharge Medications Reconciliation List" section of the discharge summary failed to list

<sup>&</sup>lt;sup>2</sup> Megace is contraindicated in patients who have thrombotic risk associated with suspected cancer.

<sup>&</sup>lt;sup>3</sup> The specific notation states "#3 history of cancer patient is unaware of his history." There was no reference to any confirmatory evidence regarding the alleged history of cancer documented in the medical record for the March 9, 2016, progress note.

the prescriptions for Protonix (pantoprazole) and Levaquin (levofloxacin). Patient A was advised to continue previous doses of Cardizem, Lipitor, Lopressor, and Valium. According to the discharge summary completed by Respondent, the primary diagnosis was lung cancer, dementia, hiccups, GERD and poor oral intake. The procedures were listed as "none." Respondent documented, among other things, a history of lung cancer, that patient A was admitted secondary to pneumonia, "poor by mouth intake secondary to hiccups," treatment with IV antibiotics and Thorazine (chlorpromazine) and that patient A was advised to continue megace as an appetite stimulant. Respondent ordered follow up appointments with PCP and oncology, home health nurse and home physical therapy. Patient A was discharged despite, among other things, persistent tachycardia, worsening renal function and significant WBC elevation. Respondent failed to adequately advise and/or document other management options for patient A including, but not limited to, continued inpatient care with IV antibiotics and IV fluids; transfer to another facility with additional resources to, among other things, investigate patient A's lung mass in a timely manner; transfer to a nursing facility for continued skilled care; or discharge to home with IV antibiotics.

- 12. On or about March 12, 2016, patient A was readmitted to Menifee Valley Medical Center Emergency Department, after patient A's wife became concerned about her husband's increasing shortness of breath. Patient A was found to have acute respiratory failure, new right chest infiltrate, pneumonia, hypotension, tachycardia, agitation, WBC of 36.7, and acute renal failure with creatinine of 3.8. Patient A was treated with noninvasive respiratory support, supplemental oxygen, IV antibiotics, soft restraints for safety, and was admitted on an inpatient basis under the care of Respondent.
- 13. On or about March 12, 2016, patient A was seen by Respondent in the mid-afternoon, who attempted to obtain a history and conducted a physical examination. Respondent documented that patient A was recently discharged two days ago on palliative care, now returning with worsening cough, fevers, chills, shortness of breath, and increased confusion. Hypotension, tachycardia, and abnormal lung exam were noted. Respondent's assessment was right lung mass (probable lung cancer), history of leukemia, right pneumonia, hypoxemia, and tachycardia

secondary to hypoxemia. Respondent's plan was, among other things, antibiotics, cultures, and DVT and gastrointestinal (GI) prophylaxis. According to the medical record for this visit, patient A's wife was made aware of the right lung mass (probable cancer) and the code status was DNR (do not resuscitate) per the patient and his wife's wishes.

- 14. On or about March 13, 2016, patient A was seen and assessed by Respondent in the morning, who noted that patient A was in moderate respiratory distress, very short of breath, unable to answer or follow commands, hypotensive, tachycardic, and tachypnic which required noninvasive respiratory support. Inpatient medications included Ativan, chlorpromazine, antihypertensives, bronchodilators, and antibiotics. Respondent's assessment was pneumonia, right lung "most probably cancer," hypoxemia, leukocytosis, and tachycardia. Respondent documented "overall poor prognosis" and that patient A's wife wanted to speak to hospice services.
- 15. On or about March 14, 2016, patient A was seen by another physician who noted, among other things, that "Destiny Hospice already involved" and patient A's wife was at bedside and updated on his condition with plans to discharge to hospice. Thereafter, patient A was admitted to general inpatient hospice care for comfort measures.
- 16. On or about March 15, 2016, patient A was seen by another physician, Dr. S.G., who documented that patient A had been admitted "to the general inpatient hospice care due to acute respiratory failure, shortness of breath and weakness and started on morphine and Ativan for comfort measures." Respondent passed away on March 15, 2016, at 8:25 p.m. The death certificated signed by Dr. S.G. who listed the causes of death as acute cardiorespiratory arrest, clinical and systemic decompensation, and lung cancer.
- 17. Respondent committed repeated negligent acts in her care and treatment of patient A which included, but was not limited to, the following:
  - (a) Respondent failed to adequately assess and/or manage abnormalities discovered during patient A's inpatient care including, but not limited to, persistent tachycardia, lung mass, critically elevated WBC, lipase/amylase elevations, adrenal nodules and acute renal failure;

- (b) Respondent discharged patient A on March 10, 2016, despite, among other things, persistent tachycardia, worsening renal function, and significant WBC elevation;
- (c) Respondent failed to adequately advise and/or document other management options for patient A and/or his wife upon discharge including, but not limited to, continued inpatient care with IV antibiotics and IV fluids; transfer to another facility with additional resources to, among other things, investigate patient A's lung mass in a timely manner; transfer to a nursing facility for continued skilled care; or discharge to home with IV antibiotics; and
- (d) Respondent failed to maintain adequate and accurate medical records by, among other things, failing to adequately document follow up assessments; failing to adequately document palliative or hospice care consultations; recording a primary diagnosis of lung cancer which the medical records failed to support; omitting key problems including, but not limited to, acute renal failure, pancreatitis, and adrenal lesions; failing to adequately document certain procedures such as the CT of March 8, 2016 (showing a lung mass); inaccurate "Discharge Medications Reconciliation List" on discharge summary; and poor documentation of follow up assessments.

#### SECOND CAUSE FOR DISCIPLINE

# (Failure to Maintain Adequate or Accurate Records)

18. Respondent is further subject to disciplinary action under sections 2227 and 2234, as defined by section 2266 of the Code, in that she failed to maintain adequate and accurate records in her care and treatment of patient A, as more particularly alleged in paragraphs 7 through 17, above, which are hereby incorporated by reference and realleged as if fully set forth herein.

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WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, and that following the hearing, the Medical Board of California issue a decision:

- 1. Revoking or suspending Physician's and Surgeon's Certificate Number A 66261, issued to Respondent Dimple Agarwal, M.D.;
- 2. Revoking, suspending or denying approval of Respondent Dimple Agarwal, M.D.'s authority to supervise physician assistants and advanced practice nurses;
- 3. Ordering Respondent Dimple Agarwal, M.D., if placed on probation, to pay the Board the costs of probation monitoring; and
  - 4. Taking such other and further action as deemed necessary and proper.

DATED: May 16, 2018

KIMBERILY KIRCHMEYER

Executive Director

Medical Board of California Department of Consumer Affairs

State of California Complainant